

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, <i>et al.</i>
Serial No. - Pending	Filing Date: February 16, 2000
Title of Application:	System For Facilitating The Processing And Settlement Of Securities Trades



Assistant Commissioner for Patents  
Washington, DC 20231

Information Disclosure Statement by Applicants

Dear Sir:

As a means of complying with duty of disclosure set forth in 37 CFR §1.56, Applicants list the following references (copies of the listed patents and papers enclosed):

U.S. Patent Documents				
Exam. Initials	Class/ Subclass.	Document No.	Date	Name
JHE	364/408	5,497,317	03/1996	Hawkins et al.
↓	364/408	5,285,383	02/1994	Lindsey et al.
↓	364/408	5,262,942	11/1993	Earle
↓	364/408	5,220,501	06/1993	Lawlor et al.
↓	364/408	5,136,501	08/1992	Silverman et al.
JHE	364/408	5,101,353	03/1992	Lupien et al.

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February 16, 2000

Fidelia K. Rice

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U.S. Patent Documents				
Exam. Initials	Class/ Subclass.	Document No.	Date	Name
<i>JS</i>	364/408	4,980,826	12/1990	Wagner
	364/200	4,949,248	08/1990	Caro
	364/408	4,823,265	04/1989	Nelson
	364/408	4,774,663	09/1988	Musmanno et al.
	364/408	4,694,397	09/1987	Grant et al.
	364/408	4,674,044	06/1987	Kalmus et al.
	179/903	4,571,463	02/1986	Shefler
<i>JS</i>	364/408	4,376,978	03/1983	Musmanno
<i>JS</i>	364/408	4,346,442	08/1982	Musmanno

Foreign Patent Documents			
Exam. Initials	Document No.	Date	Country
<i>JS</i>	GB 2210714 A	12/1988	Great Britain
<i>JS</i>	GB 2161003 A	01/1986	Great Britain